

JC06 Rec'd PCT/PTO 06 OCT 2005

FORM PTO-1390 (REV. 01-2003) US DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET N⊎MBER 125451

U.S. APPLICATION NO. (If known, see 37 CFR 1.5) New U.S. National Stage of PCT/JP05/003338

| | | ONCERNING A FILING UN | 10/552438 | | | | | | | |
|---|-------------|---|---|---|--|--|--|--|--|--|
| | RNA | TIONAL APPLICATION NO. 4/003338 | INTERNATIONAL FILING DATE March 12, 2004 | PRIORITY DATE CLAIMED April 14, 2003 | | | | | | |
| TITLE OF INVENTION SUSCEPTOR AND VAPOR PHASE GROWTH APPARATUS | | | | | | | | | | |
| APPLICANTS FOR DO/EO/US Koichi KANAYA; Toru OTSUKA; Hiroki OSE | | | | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: | | | | | | | | | | |
| 1. | \boxtimes | This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | |
| 2. | | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. | | | | | | | | |
| 3. | \boxtimes | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | |
| 4. | \boxtimes | The US has been elected (Article 31). | | | | | | | | |
| 5. | \boxtimes | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | |
| | | a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | |
| | | b. 🛛 has been communicated by the International Bureau. | | | | | | | | |
| | | c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | |
| 6. | \boxtimes | An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | |
| | | a. 🛛 is attached hereto. | | | | | | | | |
| | | b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | | |
| | | c. The International Application was filed in English. | | | | | | | | |
| 7. | | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | |
| | | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | |
| | | b. have been communicated by the International Bureau. | | | | | | | | |
| | | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | | | |
| | | d. | Il not be made. | | | | | | | |
| 8. | | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | |
| 9. | \boxtimes | An oath or declaration of the inventors (35 U.S.C. 371(c)(4)). | | | | | | | | |
| 10. | | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | |
| item | s 11 (| to 20 below concern document(s) | or information included: | | | | | | | |
| 11. | | An Information Disclosure Stateme | ent under 37 CFR 1.97 and 1.98. | | | | | | | |
| 12. | \boxtimes | An assignment document for record | ding. A separate cover sheet in co | mpliance with 37 CFR 3.28 and 3.31 is included. | | | | | | |
| 13. | \boxtimes | A preliminary amendment. | | | | | | | | |
| 14. | \boxtimes | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | |
| 15. | | A substitute specification. | | | | | | | | |
| 16. | \boxtimes | A power of attorney and/or change of address letter. | | | | | | | | |
| 17. | | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. | | | | | | | | |
| 18. | | A second copy of the published international application under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 19. | | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 20. | \boxtimes | Other items or information: Transm | ittal and Statement of Power of Att | orney and International Search Report | | | | | | |



JC09 Rec'd PCT/PTO 16 OCT 2005

| U.S. APPLICATION NO. (if known New U.S. National Stage of PCT/JP04/003338 | | ATTORNEY'S DOCKET NUMBER 125451 | | | | | | | | |
|---|---|--|--------------------------|--------------|--------------|--|--|--|--|--|
| 21. X The following fee | | DCT/JP04/003338 | <u>_</u> | CALCULATIONS | PTO USE ONLY | | | | | |
| - | | | | | | | | | | |
| | | | • | | | | | | | |
| BASIC NATIONAL FEE (3 | 7 CFR 1.492(a)): | | \$ 300.00 | \$900 | | | | | | |
| SEARCH FEE (37 CFR 1.4 | 492(b)(1)-(3)): | | | \$300 | | | | | | |
| International preliminary ex the USPTO as IPEA or IS industrial applicability for a national stage | A and favorable as to If claims presented in | | | | | | | | | |
| International search fee (37 CFR 1.445(a)(2)) paid to USPTO as ISA\$ 100.00 | | | | | | | | | | |
| International search report the search fee is paid | | | | | | | | | | |
| All situations not provided | | | | | | | | | | |
| EXAMINATION FEE (37 C | FR 1.492(c)(1)-(2)): | \$200 | | | | | | | | |
| International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national stage | | | | | | | | | | |
| All situations not provided | for above | | \$ 200.00 | | | | | | | |
| Surcharge of \$130.00 for for earliest claimed priority date | urnishing the oath or | \$ | | | | | | | | |
| TOTAL PAGES OF APPLICATION OVER 100 (- 100) | ÷ 50 | = † | x 250 = | \$ | | | | | | |
| †round up to next integer | | | | | | | | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | \$ | | | | | | |
| TOTAL CLAIMS | 16- 20 | = 0 | x 50.00 = | \$ | | | | | | |
| INDEPENDENT CLAIMS | 1- 3 | = 0 | x 200.00 = + 360.00 = | \$ | | | | | | |
| MULTIPLE DEPENDENT | \$ | | | | | | | | | |
| TOTAL OF ABOVE CALCULATIONS = \$900 Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are \$ | | | | | | | | | | |
| Applicant claims small reduced by ½. | entity status. See 37 | \$ | | | | | | | | |
| | | | SUBTOTAL = | \$900 | | | | | | |
| Processing fee of \$130.00 the earliest claimed priority | for furnishing the Eng | \$ | | | | | | | | |
| F | | \$900 | | | | | | | | |
| Fee for recording the enclo accompanied by an approp | ised assignment (37 (priate cover sheet (37 | JFR 1.21(h)). The ass CFR 3.28 3.31) \$40 | ignment must be | \$ | | | | | | |
| | 35701 311001 (37 | \$900 | | | | | | | | |
| | | Amount to be | <u> </u> | | | | | | | |
| | | refunded: | \$ | | | | | | | |
| | | | | charged: | \$ | | | | | |
| a. | | | | | | | | | | |
| c. | | | | | | | | | | |
| Deposit Account No. <u>15-0461</u> . A duplicate copy of this sheet is enclosed. d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) | | | | | | | | | | |
| must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO: | | | | | | | | | | |
| OLIFF & BERRIDGE, PLC Customer Number: 25944 NAME: William P. Berridge REGISTRATION NUMBER: 30,024 | | | | | | | | | | |
| Date October 6, 2005 NAME: Thomas J. Pardini | | | | | | | | | | |
| REGISTRATION NUMBER: 30,411 | | | | | | | | | | |